

## Budget Issues



### **BUDGET ISSUES**

For the budget issues discussed below, the County Executive Office (CEO) evaluated the justification provided by departments and based recommended action on the following policy:

- Departments are expected to absorb most changes within existing appropriations.
- Departments should recognize unanticipated revenues where possible to help absorb other changes.
- CEO may recommend an appropriation transfer to a General Fund agency from elsewhere in the General Fund as a last resort.
- All departments requesting a new position shall delete an offsetting long-term (12+ months) vacant position.

### **Fund Balance Available (FBA) Adjustments**

Each year, the Board adopts a final budget prior to June 30 before final fund balances are known. During budget development, estimated fund balances are used to balance funds. Actual fund balances are now known and all funds with final fund balances varying from the estimated fund balances used in the adopted budget require an adjustment. In most cases, funds with actual fund balances lower than estimated are being adjusted downward to reflect actual available financing, while funds with actual fund balances higher than estimated are being adjusted upward to reflect the additional available financing. These adjustments are displayed in the *Recommended Actions* section.

On September 19, 2006, the CEO reported to the Board that the actual June 30, 2006 General Fund Balance Available (FBA) was \$30,147,025 million above the budgeted amount. The CEO recommends the \$30.1 million be allocated as follows:

- \$5,000,000 for district community priorities and projects
- \$3,838,073 for capital project carry over projects
- \$3,700,000 for Assessment Tax System (\$2.3M) and CAPS (Countywide Accounting and Personnel System, \$1.4M) upgrade/replacement
- \$2,500,000 for community multi-purpose service center
- \$2,500,000 for information technology projects including the 311 Customer Service Call Center (\$1.5M), the Regional Wireless Broadband project (\$.5M), and the Email Archival and Retrieval project (\$.5M)
- \$2,000,000 for market adjustments
- \$1,500,000 for Social Services Agency reserve for Tustin Family Campus Project
- \$1,000,000 for Health Care Agency Realignment reserve
- \$1,000,000 for Emergency Mass Notification Calling System
- \$400,000 for Animal Control Call Center
- \$6,708,952 for strategic priorities to be identified in the Strategic Financial Plan

### **Quarterly Grant Survey**

The attached grant survey report, completed by County agencies and departments covers the period July 1 through September 30, 2006. Agencies/departments were asked to report on any grant activities during this period, including any grant application in process, applied for, or awarded.

#### Summary of County Grant Activities

- Of the 24 County agencies/departments, 10 reported having no grant activity during the First Quarter of FY 2006-07.
- During the reporting period, 14 County agencies/departments received a total of \$11.2 million in grant funds, and a total of \$114.8 million still pending notification. Please note that these amounts include a number of grants awarded over multiple years as expenditures occur and claims are submitted for approval. (See specific department details on attached survey results.)
- Agencies/departments reported a Countywide total of 11 positions responsible for grant related activities, with an estimated staffing and services & supplies cost of \$0.65 million. These figures include departments that have identified specific staff dedicated to grant responsibilities; however, other departments only assign staff to the function as necessary.
- Resources being used to locate and apply for grants vary among departments but include eCivis Grant locator service, internet grant web pages, and professional association & governmental publications/notices.

### **Program I – Public Protection**

#### District Attorney (Agency 026)

- Increase appropriations by \$500,000 offset by revenue from Public Safety Sales Tax Fund 14B for funding of Agreement N1000008151 with Serological Research Institute for DNA forensic analysis in investigation and prosecution of cases.
- Increase appropriations by \$148,084 offset by revenue from the U.S. Department of Justice Advanced Technologies for Law Enforcement Initiative grant. The funds will be used to purchase investigative equipment for investigation of cases.
- Add five positions (one Supervising Attorney's Investigator and four Investigators) for the Workers' Compensation Insurance Fraud Program to conduct investigations targeting provider fraud and criminal insurance fraud rings. Increase appropriations by \$791,210 offset by revenue from a California Department of Insurance grant.
- Add one Investigative Assistant position to address the increasing workload of the High Tech Crime Unit. Increase appropriations by \$66,745 offset by revenue from the Southwest Border Prosecution Initiative.
- Add one Research Analyst II position to address the increasing complexity of cases within the Task Force Aimed at Catching Killers, Rapists, and Sex Offenders (TracKRS). Increase appropriations by \$76,043 offset by revenue from Public Safety Sales Tax Fund 14B.

- Add one Paralegal position to address the increasing complexity of cases in the Sexual Assault Unit. Increase appropriations by \$79,204 offset by revenue from Public Safety Sales Tax Fund 14B.
- Add three positions (one Deputy Attorney IV, one Paralegal, and one Attorney's Clerk) to address the increasing requirement to effectively present DNA evidence in criminal trials. Increase appropriations by \$306,432 offset by revenue from Public Safety Sales Tax Fund 14B.
- Increase appropriations by \$610,000 for previously approved capital projects at Central (\$423,000) and Harbor (\$187,000) Justice Centers. The cost of the capital projects is offset by revenue from Public Safety Sales Tax Fund 14B.
- Increase appropriations by \$662,791 offset by revenue from the State to participate in the State Disability and Healthcare Insurance Fraud Investigation and Prosecution Program. Funding will be used to direct efforts to combat disability and healthcare insurance fraud through greater effort in investigation and prosecution of these cases.

Sheriff Emergency Management (Agency 032)

- Add two limited-term (one-year) Senior Emergency Management Program Coordinator positions and associated funding of \$164,488 offset by revenue from the Nuclear Power Preparedness Program and State Homeland Security Grant. The positions will be responsible for emergency plans, meeting aggressive exercise mandates, and maintaining critical training for Emergency Operations Center responders.
- Increase appropriations by \$172,183 offset by revenue from the FY 05 Homeland Security Grant. The funds will provide for purchase of equipment needed in incidents of terrorism and weapons of mass destruction.

Sheriff Communications (Agency 055)

Approve the \$70,000 purchase of two replacement vehicles offset by a \$37,531 transfer from Miscellaneous Fund Agency 004 and a \$32,469 decrease to reserves in Transportation ISF Fund 296. Both vehicles are due for replacement and an upgrade in vehicle size is requested to allow for carrying of equipment.

Sheriff-Coroner (Agency 060)

- Increase appropriations by \$10,113,427 offset by revenue from:
  - the contract city Laguna Niguel (\$46,894) for the addition of one limited-term (one-year) Sheriff's Community Services Officer position for traffic enforcement;
  - the contract city Laguna Hills (\$56,606) for addition of one limited-term (one-year) Crime Prevention Specialist position to provide information to community and Sheriff personnel on home security, crime trends, local crime patterns, and established neighborhood watch programs;
  - contract cities Laguna Woods (\$68,946) and Aliso Viejo (\$68,946) for the addition of two part-time, limited-term (one-year) Investigator positions to

- investigate all incidents of violence in the cities and prepare reports of action taken;
- the FY 03 State Homeland Security Part II First Responder Preparedness Grant (\$85,577) to equip and train emergency responders to prevent, respond to, and mitigate a weapons of mass destruction incident;
- the FY 04 State Homeland Security Grant (\$2,441,136) for prevention, preparedness and response to recover from threats and incidents of terrorism;
- the FY 05 Homeland Security Grant (\$3,064,125) for the purchase of equipment needed in incidents of terrorism and weapons of mass destruction;
- the FY 05 Buffer Zone Protection Program Grant (\$1,048,385) to develop protective measures to deter, prevent, prepare and protect sites from a potential terrorist threat or attack;
- the State of California 911 Emergency Communications Reimbursement (\$38,312) to provide the fastest, most reliable, cost-effective telephone access to 911 emergency services;
- the Solving Cold Cases with DNA grant (\$276,405) to fund overtime costs for three Investigators and two Senior Forensic Scientists whose focus is unsolved crime in Orange County, and for computer equipment and software;
- the Reimbursement Agreement between the Federal Bureau of Investigation (FBI) and the Joint Terrorism Task Force (JTTF) (\$77,846) for reimbursement of overtime costs for five positions assigned to the JTTF;
- the 2005 Coverdell Grant (\$41,918) to provide education and training to the forensics staff to improve the quality and timeliness of forensic science services;
- the FY 04 DNA Capacity Enhancement Program Formula Grant (\$53,345) for the purchase of lab equipment, instruments and furnishings to improve evidence screening and processing;
- the DNA Backlog Reduction Program Formula Grant (\$51,983) to work backlogged DNA cases;
- the FY 06 Portable Evidential Breath Test Program (\$304,167) for forensic alcohol and breath alcohol testing;
- Peace Officer Standards and Training reimbursable revenue (\$122,600) for coroner training courses;
- the DNA Expansion Demonstration Program (\$495,505), State Criminal Alien Assistance Program (\$209,511) and Public Safety Sales Tax Fund 14B (\$100,000) for the addition of nine positions (two Forensic Specialists and seven Forensic Science Is). The positions will collect crime scene samples and perform DNA testing related to the investigation of crimes;
- cost apply from the Social Services Agency (\$68,952) for the addition of one limited-term (one-year) Sheriff Special Officer II position to provide security services; and
- various contract cities totaling \$1,392,268 to fully recover the cost of salaries and employee benefits, and services and supplies. At the time the FY 06-07 budget was adopted, contracts with the cities were not yet finalized.

- Increase operating transfer out from Agency 060 to Transportation ISF 296 by \$95,000 for the purchase of three new vehicles for contract city Aliso Viejo. The cost of the vehicles is offset by an operating transfer in to Agency 060 from Public Safety Sales Tax Fund 14B.
- Delete one Lieutenant position and add one Sheriff Special Officer II position and make related budget adjustments including a \$68,345 decrease in appropriations and NCC. Due to policy changes made by the FBI, the Lieutenant position is no longer required for the Joint Terrorism Task Force (JTTF); however, a new Sheriff Special Officer II position is required to provide security to Building 12.
- Establish \$216,000 operating transfer from State Criminal Alien Assistance Program Fund 13P to Agency 060 for the Immigration and Nationality Act Cross-Designation Program. Funding is required for training and certification of deputies assigned to Jail Operations.
- Defund one Sergeant and eight Sheriff Special Officer II position assigned to John Wayne Airport (JWA). As a result of a reduction in security requirements at JWA, \$640,760 in funding for these positions is not currently required. Should these positions be required in the event of an emergency, the Department will request reinstatement of the appropriations.

#### Trial Court Funding (Agency 081)

Superior Court requests the expansion of the Family Violence Court Program at the West and North Justice Centers. The Family Violence Court program provides services and support to all family members affected by incidents of domestic violence. To expand the program, establishment of project teams is required including the following position additions and budget adjustments. The NCC increases identified below are offset by a NCC reduction in Agency 081.

#### ***Health Care Agency***

Add five positions (one Clinical Psychologist II, two Clinical Social Worker IIs, one Office Technician, and one part-time Community Worker II) and increase appropriations and NCC by \$234,315.

#### ***Social Services Agency***

Add 6 Senior Social Worker positions and increase appropriations and NCC by \$326,553.

#### ***Probation***

Add four Deputy Probation Officer II positions and increase appropriations and NCC by \$250,240.

#### ***District Attorney***

Add four Deputy Attorney IV positions, and increase appropriations by \$362,866 offset by revenue from Public Safety Sales Tax Fund 14B.

***Public Defender***

Add four Deputy Attorney IV positions and increase appropriations and NCC by \$362,866.

O.C. Meth Lab Investigation Team (Fund 103)

Increase appropriations and revenue by \$104,590 offset by revenue from the FY 06-07 Anti-Drug Abuse Grant and the Byrne Memorial Justice Assistance Grant Program to combat illegal drug use.

Narcotic Forfeiture and Seizure (Fund 116)

Increase appropriations by \$455,000 offset by a decrease to reserves for the fixed asset purchase of a surveillance vehicle and network equipment. The vehicle will be used for undercover investigations, and the network equipment is required for replacement of old servers and tape drives.

Jail Commissary (Fund 143)

Establish \$479,894 operating transfer out to Inmate Welfare Fund 144 to fund continued operational costs.

**Program II – Community Services**

Housing & Community Services (Agency 012)

Approve the fixed asset purchase of six network servers to comply with the CEO information technology initiative of upgrading all networks to Windows 2003. The cost of the servers, \$58,000, will be absorbed within the existing budget.

Health Care Agency (Agency 042)

- Add 19 positions (three Clinical Social Worker IIs, one Behavioral Health Nurse, one Office Specialist, 13 Mental Health Specialists, and one HCA Program Supervisor) for Mental Health Services Act (MHSA) programs including Outreach and Engagement, Older Adult Recovery Services, and Administration. The cost of the positions, \$615,810, will be absorbed within the existing budget and is fully offset by MHSA funds.
- Add 11 positions (one Clinical Psychologist II, eight Clinical Social Worker IIs, one HCA Service Chief II, and one Office Specialist) for the Children and Youth Services (CYS) Katie A. Program, which is a mandated program requiring assessment of all youth entering the child welfare system and provision of wraparound and therapeutic foster care services, as applicable. Increase appropriations and revenue by \$370,695. Three positions are fully funded by Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) revenue. The remaining eight positions are 90 percent offset by Medi-Cal revenue and 10 percent offset by a reduction in Services and Supplies.
- Add three Clinical Social Worker II positions for the Children and Youth Services (CYS) Youth Leadership Academy (YLA) to provide mental health services to wards

being housed at the newly-opened YLA. Increase appropriations and NCC by \$108,294.

- Add four positions (one Clinical Psychologist II, one Clinical Social Worker II, one HCA Service Chief II, and one Office Technician) for support of the Youth and Family Resource Center (YFRC) program. Increase appropriations and revenues by \$18,202, with no NCC impact. These positions are funded through 89 percent cost apply to Probation and 11 percent Medi-Cal revenue.
- Add one Research Analyst IV position to provide support for Community Clinics seeking designation as a Federally Qualified Health Center (FQHC) or FQHC Look Alike. The FQHC and Look Alike application process is complex and requires significant data analysis support. Providing this support to community clinics will improve prospects for success and shorten the application timeframe. Increase appropriations and NCC by \$177,128 to cover the cost of the position and for one-time consultant services for technical advice and support related to the application process.
- Increase appropriations and NCC by \$1,358,817 to offset the unfunded portion of the Health Care Professionals range adjustments approved by the Board on May 16, 2006.

#### Social Services Agency (Agency 063)

- Add three Administrative Manager I positions for general and administrative support to the Outreach, Enrollment, Retention and Utilization program, which is a collaborative community based effort for enrolling eligible children in public health care coverage, identifying a medical provider, scheduling medical appointments, and retaining health insurance through compliance with renewal processes. Increase appropriations by \$232,377 offset by revenue from the California Department of Health Services Medi-Cal program.
- Add eight Group Counselor Night positions for the Orangewood Children's Home. These positions are required to maintain staff/child ratios as determined by the California Department of Social Services/Community Care Licensing. Increase appropriations by \$208,504 offset by Federal Title IVE funds (50%) and State Realignment revenues (50%).
- Add 19 positions (1 Administrative Manager I, 1 Eligibility Supervisor, 12 Data Entry Technicians, and 5 Office Technicians) to address additional mandates related to performance standards in the Medi-Cal program, increased work participation requirements for the CalWORKs program related to Federal TANF reauthorization, and business process requirement changes related to the implementation of CalWIN. This need is currently being met by the use of Extra Help employees; however, this is an ongoing need requiring the use of Regular positions rather than Extra Help. The cost of the positions, \$612,509, will be offset by reductions in the Extra Help and Temporary Help budgets, and increased State and Federal revenue allocations.
- Increase appropriations by \$7,940,577 offset by deferred Federal and State revenue from the prior fiscal year and a net increase in State and Federal revenue allocations for Medi-Cal, Food Stamps, CalWORKs, Children and Family Services, and CalWIN. Due to the complexity of the Federal and State claiming processes, revenue earned in one fiscal year is sometimes received the following fiscal year. This revenue restores



contract reductions made to the FY 06-07 budget, allows the agency to fill vacant positions, and funds an additional Senior Deputy County Counsel position for Children and Family Dependency services.

- Decrease appropriations and revenue by \$1,487,475 to align the budget with expected fee revenues for Children and Family Services and with revenue transfers from Facilities and Maintenance Fund 14T.
- Increase appropriations by \$691,506 offset by revenue from the Wraparound Program Fund 12W to support the enhancement of wraparound services, successful timely out-of-home placements, and the provision of mental health services.

#### Orange County Tobacco Settlement (Fund 13N)

Decrease revenue and related operating transfer appropriations by \$3,060,006 to reflect a decrease in revenues from participating tobacco manufacturers. Operating transfer revenue and related appropriations are decreased by \$2,553,003 for Health Care Agency (Agency 042) and by \$1,031,696 for Sheriff (Agency 060). Operating transfer revenue and related appropriations are increased by \$524,693 for Designated Special Revenue Fund 15S.

#### Facilities Development and Maintenance (Fund 14T)

- Increase operating transfer out to In-Home Supportive Services Fund 590 by \$32,724 to compensate for a transfer that should have taken place in FY 05-06.
- Increase appropriations and revenue by \$82,967 to recognize commission fees received for the lease of space at the Sand Dollar Financial Plaza. The funds will be used for facility improvements to maintain or enhance client services.

### **Program III – Infrastructure and Environmental Resources**

#### Watershed and Coastal Resources (Agency 034)

Decrease appropriations and operating transfer in from Flood Fund 400 by \$950,000, Decrease operating transfer out to Agency 034 and increase reserves in Flood Fund 400 by \$950,000. Funds were previously allocated for the Bolsa Chica Channel Treatment Wetlands project but the project was terminated after the U.S. Navy denied a lease for land to build the project.

#### Utilities (Agency 040)

Approve revised water rates (see below) billed to the City of Santa Ana, State and Federal Governments and County agencies located in the Civic Center for steam and chilled water produced by the Central Utility Facility for heating and cooling effective retroactive to July 1, 2006. Rates charged by the State of California Department of General Services and Southern California Edison for natural gas and electricity used to generate the steam and chilled water have increased, as well as, the cost of employee salaries and benefits resulting in the need for revised rates to ensure full cost recovery. Increase appropriations and revenue by \$122,764 to recognize the resulting increase in revenue.

Billing Units	Current Rate	Proposed Rate
Chilled Water per 1,000 tons	276.56	384.54
Steam per 1,000 pounds	22.66	31.51

Resources & Development Management Department (Agency 080)

- Transfer Real Estate and Records Center functions from the County Executive Office (CEO) Agency 017 to Agency 080 including the transfer of eight positions (four Administrative Manager Is, one Secretary I, one Records Management Analyst, and two Warehouse Worker IIs) effective November 24, 2006. Increase appropriations and NCC in Agency 080 by \$568,603 offset by a reduction in appropriations and NCC in Agency 017. Transfer and consolidation of these functions will centralize responsibility and facilitate consistency and flexibility.
- Move \$250,000 from Agency 036 Capital Projects Facilities Master Plan budget to Agency 080. The funds will be used for consultant services and project planning costs related to initial implementation of the County's Facilities Master Plan submitted for Board review and approval on October 17, 2006. \$2,500,000 was approved in the FY 2006-07 Agency 036 budget for Facilities Master Plan-related costs.

Building and Safety (Fund 113)

Decrease appropriations and revenue by \$239,481 to align the current year budget with Building and Safety's Action Plan, and to reflect a more realistic projection of revenue receipts.

Road (Fund 115)

Transfer one Maintenance Crew Supervisor II from Fund 115 to Harbors, Beaches and Parks Fund 405. Transfer of this position and associated technical budget adjustments (no increase in appropriations) is required to redistribute and assign personnel based on workload.

Library Capital (Fund 119)

Increase appropriations by \$97,865 offset by developer deposit revenues. Establish an operating transfer out to Fund 120 in the same amount to be used for lighting improvements at the El Toro branch library.

Orange County Public Library (Fund 120)

- Increase appropriations by \$120,000 offset by unanticipated revenue from donations to be used for facilities maintenance and improvements at the Dana Point branch library.
- Increase appropriations by \$1,186,400 offset by unanticipated property tax and interest revenue. The funds will be used for the purchase of library materials.
- Increase appropriations by \$9,481 offset by revenue from the LSTA (Library Services and Technology Act) Staff Education Grant. This grant provides funding to libraries to support tuition costs in library school programs for library staff members completing a Masters degree.

Air Quality Improvement (Fund 140)

Establish \$100,000 operating transfer out to Transportation ISF Fund 296 for partial funding of the existing capital project for Compressed Natural Gas Infrastructure Development.

John Wayne Airport (Fund 280)

Add one limited-term (through 2012) Staff Specialist position for the Public Affairs Division. The cost of the position will be absorbed within the existing budget. With formal construction of the airport terminal expansion expected to begin in late 2006, this position is needed for proactive and reactive communication with travelers, the tourism industry, local businesses, and airport neighbors regarding the status of this long-term project.

Integrated Waste Management Department (Fund 299)

Add 12 positions (3 Landfill Equipment Operator IIs and 9 Laborers) for greater operational support at the Bowerman, Olinda-Alpha and Prima Deshecha landfills. These positions are required due to an increase in daily tonnage, greater scrutiny from regulatory agencies, and increased oversight of closed landfill sites. Increase appropriations by \$352,884 offset by a decrease to reserves to cover the cost of the positions.

Harbors, Beaches and Parks (Fund 405)

- Increase appropriations by \$51,100 offset by an operating transfer in from Fund 406 Harbors, Beaches and Parks Capital to provide additional funding for purchase of a replacement beach sand sanitizer. \$48,900 was included in the FY 06-07 budget for this purchase; however, the cost is now estimated at \$100,000.
- Move the Mile Square Park Office Replacement and O'Neill Restroom Replacement capital projects from Fund 405 to Harbors, Beaches and Parks Capital Fund 406 and make the necessary budget adjustments including a \$50,000 decrease in appropriations and revenue in Fund 405 and a \$50,000 increase in appropriations and revenue for Fund 406. These projects were approved in the FY 06-07 Fund 405 budget; however, all harbors, beaches and parks related capital projects are now being budgeted and managed from Fund 406.

Harbors, Beaches and Parks Capital (Fund 406)

- Approve allocation of Prop 12 funds for establishment of a new capital project to build a permanent restroom facility at Peters Canyon Regional Park. The cost of the project is \$130,000 and will be absorbed within the existing budget.
- Approve allocation of Prop 40 grant funds for establishment of a new capital project to replace playground equipment at Mason Regional Park. The cost of the project is \$200,000 and will be absorbed within the existing budget.
- Increase appropriations by \$250,000 offset by Prop 40 grant funds for establishment of a new capital project for corrective placement of Oloids equipment at Dana Point Harbor. Moving the Oloids to a different area of the harbor will help improve the water quality in the harbor and at Baby Beach.

- Approve allocation of \$400,000 for capital improvements at Irvine Ranch Historic Park for infrastructure safety/code-related upgrades. These improvements are required as Harbors, Beaches and Parks staff are moving to this facility.

#### **Program IV – General Government Services**

##### Auditor-Controller (Agency 003)

Add six limited-term (through 2012) positions (one Administrative Manager III, three Administrative Manager IIs, and two Administrative Manager Is) for oversight of the CAPS Upgrade Project. These positions are required for project management and for backfilling of key staff now dedicated to the CAPS Upgrade Project. Increase appropriations and cost apply from Agency 014 CAPS Operations and Maintenance by \$427,370 to cover the cost of the positions.

##### CAPS Operations and Maintenance (Agency 014)

Increase appropriations by \$3,389,100 offset by a General Fund decrease to reserves. The CAPS (Countywide Accounting and Personnel System) replacement was established in 2004 as a strategic priority for the County of Orange. A fit analysis for upgrading the financial system component of CAPS is currently underway, and selection of a consultant for envisioning replacement of the human resources component of CAPS will soon occur. Funds previously set aside in reserves for this project are now required to begin these processes.

##### County Executive Office (Agency 017)

Transfer two Office Assistant positions from Information Technology Internal Service Fund 289 to Agency 017 and reclassify the positions to Administrative Manager III positions. Make necessary budget adjustments including a \$180,716 increase in Agency 017 appropriations and NCC, and a \$78,620 appropriation and revenue decrease in Fund 289.

##### County Counsel (Agency 025)

Increase appropriations and cost apply from the Social Services Agency (SSA) by \$97,546 for the addition of one Senior Deputy Attorney position. This position will provide legal advice, opinions and training to SSA on complicated legal issues related to cases of child abuse. This position is required in response to an increasing workload due to the complex and ever-changing federal and state regulations and laws governing juvenile dependents.

##### Clerk-Recorder (Agency 059)

Decrease appropriations and revenue by \$874,815 to recognize the decrease in the number of property documents being recorded as a result of the real estate market slow down.

### **Program V – Capital Improvements**

#### Capital Projects (Agency 036)

- Reclassify \$1,213,740 in reimbursements for 800 MHz-related capital projects (Newport Coast, Carbon Canyon and Dana Point) from Object 4209 Services and Supplies Reimbursement to Revenue Source 7811 Operating Transfer In from Fund 15L 800 MHz Countywide Coordinated Communication System. This technical adjustment is required to ensure cost recovery from Fund 15L for expenditures and encumbrances at year-end.
- Increase appropriations and revenue by \$2,074,400 for financing of the Cogeneration project at the Central Utility Facility. \$31,426,000 is budgeted for this project; however, the bond financing amount has been revised by \$2.074 million to \$33,500,000.
- Decrease appropriations and revenue by \$37,000 to reflect the funds available for the Gates Building-Department of Justice electrical project.

#### Courthouse Temporary Construction (Fund 105)

Increase appropriations and revenue by \$181,503 to allow for debt service payment on the 2002 Juvenile Justice Center Refunding Bond debt service. The current amount budgeted for FY 06-07 is insufficient to make the total payment of \$4,396,527 for which Fund 105 is responsible.

### **Program VI – Debt Service**

#### Golden Lantern Reassessment District 94-1 (Fund 433)

Increase appropriations by \$1,700,000 offset by a decrease to reserves to make final debt service payment on the bonds associated with this fund.

### **Program VII – Insurance, Reserves and Miscellaneous**

#### Property and Casualty Risk ISF (Fund 294)

Transfer one Administrative Manager III position and associated appropriations and revenue of \$163,210 from Workers' Compensation Fund 293 to Fund 294. This position will support the insurance and indemnification risk assessment function allowing work to be completed in a more timely manner.

#### Transportation ISF (Fund 296)

- Decrease operating transfer in from Agency 055 Sheriff-Communications by \$82,136 and increase operating transfer in from Agency 004 Miscellaneous Fund by the same amount for purchase of two vehicles. Purchase of the vehicles should be paid for by the General Fund since Communications is a General Fund budget without a separate funding source. In addition, increase the operating transfer from Agency 004 by \$14,364 to cover the cost of the vehicles. Since the budgeted was adopted, a re-evaluation of the vehicle needs was completed and it was determined that larger

vehicles are needed to support field repair of 800 MHz radios, patrol video systems, and the delivery of repair equipment.

- Increase appropriations by \$55,000 offset by a decrease to reserves for the purchase of a replacement vehicle for Resources and Development Management Department and a vehicle for Probation.

QUARTERLY GRANT SURVEY  
1st Quarter - FY 2006/07 (July 1 - September 30)

Grant Activities During the 1st Quarter

Department/Agency	Number of Grants				Awarded Amount			Pending Award	Denied	Eligible Amount
	Awarded	Pending	Denied	Total	Received prior to 06/07	Received in 06/07	Pending Receipt	Amount	Amount	
Program I - Public Protection										
District Attorney (026)*	9	3	0	12	\$140,000	\$5,024,902	\$42,880	\$1,032,412	\$0	\$6,240,194
Probation (057)*	2	2	0	4	\$6,755,816	\$1,688,954	\$250,000	\$1,636,240	\$0	\$10,331,010
Public Defender (058)	1	1	0	2	\$0	\$0	\$67,000	\$60,000	\$0	\$127,000
Sheriff-Coroner (060)*	11	2	1	14	\$626,198	\$137,822	\$1,947,532	\$1,979,704	\$441,063	\$5,132,319
Program II - Community Services										
Health Care Agency (042)*	4	0	0	4	\$981,151	\$224,875	\$1,377,621	\$0	\$0	\$2,583,647
Social Services Agency (063)*	14	0	0	14	\$7,798,904	\$0	\$1,517,691	\$0	\$0	\$9,316,595
Housing & Community Services (15G & 012)*	2	3	2	7	\$0	\$454,761	\$4,585,864	\$1,925,000	\$925,000	\$7,890,625
Program III - Infrastructure & Environmental Resources										
Dana Point Harbor (108)	1	0	0	1	\$0	\$0	\$2,541,000	\$0	\$0	\$2,541,000
Orange County Public Library (120)	5	4	1	10	\$11,250	\$5,625	\$26,306	\$117,950	\$200,000	\$361,131
Resources & Development Management Dept (034, 115, 296, 400, 405)*	7	5	2	14	\$285,946	\$3,114,827	\$45,738,063	\$37,550,000	\$4,205,857	\$90,894,693
John Wayne Airport (280)*	5	0	0	5	\$1,647,789	\$37,754	\$131,348	\$0	\$0	\$1,816,891
Program IV - General Government										
County Executive Office (036)	1	0	0	1	\$0	\$0	\$204,101	\$0	\$0	\$204,101
Registrar of Voters (031)	1	2	0	3	\$421,008	\$552,000	\$351,944	\$13,114,000	\$0	\$14,438,952
Clerk-Recorder (059)	1	0	0	1	\$0	\$0	\$150,000	\$0	\$0	\$150,000
TOTAL	64	22	6	92	\$18,668,062	\$11,241,520	\$58,931,350	\$57,415,306	\$5,771,920	\$152,028,158

\* Represents departments/agencies with grants awarded over multiple years, therefore, dollar amounts may include multi-year allocations.

QUARTERLY GRANT SURVEY

1st Quarter - FY 2006/07 (July 1 - September 30)

PROGRAM I - PUBLIC PROTECTION

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grants:

DISTRICT ATTORNEY (Agency 026)

Kim Dinh 347-8435

1.0 FTE

\$71,670

Department utilizes the eCivis software program.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Project Safe Neighborhoods	Office of Emergency Services (OES)	Prosecute firearms cases	Annual	\$ 182,880	- \$	42,880	\$140K received FY 05-06. Additional funding of \$42,880 is pending notification.
2 Vertical Prosecution Block Grant	Office of Emergency Services	Prosecute statutory rape, child abuse, major narcotics and career criminal cases.	Annual	\$ 798,379	- \$	798,379	Pending notification of approval
3 DUI Vertical Prosecution Grant	Office of Traffic Services	Prosecute DUI cases & conduct outreach	2-year grant	\$ 691,631	691,631.00		Awarded.
4 Spousal Abuser Prosecution Program (SAPP)	State DOJ	Enhance the ability of Orange County prosecutors to successfully prosecute serious and repeat spousal abusers.	Annual	\$ 95,033	- \$	95,033	Pending notification of approval
5 Restitution Program	Victim Compensation and Government Claim Board	Improving California's criminal restitution system	2-year grant	\$ 237,461	237,461.18		Awarded.
6 Automobile Insurance Fraud Program	State DOI	Investigate and prosecute auto insurance fraud cases	Annual	\$ 809,620	809,620.00		Awarded.
7 Workers' Compensation Insurance Fraud Program	State DOI	Investigate and prosecute workers' comp. insurance fraud cases	Annual	\$ 1,897,853	1,897,853.00		Awarded.
8 Truancy Response Team	AB1913 (JJCPA) (thru Probation Dept.)	Address issues of truancy among intermediate and high school students at local level	Annual	\$ 146,500	146,500.00		Awarded.
9 Proposition 36	State (through CEO)	Determine eligibility for Penal Code 1210 (PC1210) program	Annual	\$ 438,080	438,080.00		Awarded.
10 Health and Disability Insurance Fraud Program	State DOI	Investigate and prosecute medical insurance fraud cases	Annual	\$ 662,791	\$ 662,791		Awarded.
11 Juvenile Accountability Block Grants Program	BOC	Prosecute youth violence and sexual offenses	Annual	\$ 140,966	\$ 140,966		Awarded.
12 Orange County Methamphetamine Lab Investigation	State OHS/OES (thru Sheriff Dept)	Prosecute Methamphetamine Manufacturers	Annual	\$ 139,000	\$ - \$	139,000	Pending notification of approval
Total D.A.:				\$ 6,240,194	\$ 5,024,902	\$ 1,075,292	



Department/Agency:  
Contact Name & Phone Number:  
Number of FTE's responsible for grant writing:  
Annual staff and any services & supplies costs related to the grant function:  
Specify any special services/tools/resources used to track available grants:

**PROBATION (Agency 057)**  
Frank Kim 937-4728  
The department has 1 dedicated position functioning as the Grant Coordinator. A team comprised of staff from research, finance, and administration is available to assist as needed on each application.  
Approximately \$100,000. Can be more depending on the number of grant opportunities.  
eCivis; websites of Probation resources such as State and Federal law enforcement agencies; contacts and sources from other Counties.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Juvenile Hall Youth Leadership Academy Grant Construction Contract No. 119-68	Corrections Standards Authority (CSA), formerly known as Board of Corrections (BOC)	Addition of 120 beds, elimination of 60 dilapidated beds, addition of six classrooms and ancillary space needed to accommodate the expansion of the Juvenile Hall site.	1-time	\$8,444,770	1,688,954	\$0	Grant was awarded in May 2004. Revenues are recorded under 100-036-P330-6920 (Probation project funded by CEO's Capital Projects). The remaining \$1,688,954 that represents the 20% retention has been received by the Auditor-Controller on August 21, 2006.
2 Comprehensive Approaches to Sex Offender Management (CASOM)	Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice	Assessing current system of resources, policies and practices for the management of juvenile sex offenders; providing assistance to victims; identifying gaps; developing a comprehensive strategy for management of these cases.	Annual Funding	\$250,000	-	\$250,000	The grant has been awarded to Orange County Probation. The Department will go to the Board with a recommendation to accept the grant on October 17th.
3 Residential Substance Abuse Treatment	Office of Emergency Services	Enhanced services for 75 male minors serving court- ordered commitments in the Addiction and Substance Abuse, Education and Recognition and Treatment (ASERT) program at the Youth Guidance Center.	Annual Funding	\$136,240 (total project, of which 75% or \$102,180 is the federally funded amount)	N/A (application being reviewed by grantor)	N/A (application being reviewed by grantor)	Application was submitted on 6/23/06 for the grant period 10/1/05-1/31/06. OES has since notified Probation that the grant period will be revised to end on 6/30/07, with no additional funding. Board ratification of the grant application submittal is in process. OES is reviewing the grant application.
4 Mentally Ill Offender Crime Reduction Grant (MIOCR)	State of California, Department of Corrections and Rehabilitation, Corrections Standards Authority	To reduce recidivism among mentally ill juvenile offenders, consistent with the purpose and intent of SB 1485, an initiative designed to determine the most effective strategies to reducing involvement of mentally ill offenders in the criminal justice system.	Annual Funding	\$1.5M maximum			The grant application is due on November 6, 2006 and requires Board approval. The department is currently working on the application and will be before the Board prior to the grant submission deadline. Eligible amount is \$1.5 million maximum
Total PROBATION:				\$ 10,331,010	\$ 1,688,954	\$ 1,886,240	

Department/Agency:  
Contact Name & Phone Number:  
Number of FTE's responsible for grant writing:  
Annual staff and any services & supplies costs related to the grant function:  
Specify any special services/tools/resources used to track available grants:

**PUBLIC DEFENDER (Agency 058)**  
Becky Juliano 834-3182  
  
The department uses the eCivis services and website for researching grant opportunities as well as utilizing state, federal, and private sights to seek grant opportunities.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Truancy Court Grant	JJCPA	A caseworker who can enhance the ability of families to work together to improve students attendance in school through testing, intervention, education, training, and monitoring.	Annual	\$ 67,000	\$0	\$67,000	Approved by JJCC but awaiting receipt of State Funding
2 Treatment for Homeless	Federal - Dept of Health & Human Services - Substance abuse & Mental Health Services Admin	Assist clients with program and resource coordination and monitoring through the Orange County Homeless Court	Annual	\$ 60,000	-	\$ 60,000	Application in process.
Total PUBLIC DEFENDER:				\$ 127,000	\$ -	\$ 127,000	

**Department/Agency:****SHERIFF-CORONER (Agency 060)****Contact Name & Phone Number:**

Dianne DeVargas 714-935-6937

**Number of FTE's responsible for grant writing:**

1.33 FTE

**Annual staff and any services & supplies costs related to the grant function:**

\$178,386

**Specify any special services/tools/resources used to track available grants:**

NIJ, Federal grants, eCivis, JPMA, OES, and Professional Association Notifications.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Avoid South County Regional DUI Task Force	Office of Traffic Safety (OTS)	South Ops: DUI Enforcement and Educational Programs	2-Year grant (10/1/04 - 12/31/06)	\$ 540,570	\$ -	\$ 331,882	Approved; \$208,688 received in FY 05-06
2 Avoid South County Regional DUI Task Force (Initial submission under "Avoid South County Regional DUI Task Force, has been changed but same grant.)	Office of Traffic Safety (OTS)	South Ops: DUI Enforcement and Educational Programs	40-month grant* (10/1/06 - 1/31/10) *changed	479,704	0	479,704	Pending notification. Original request reduced from \$964,396 to \$479,704
3 Training Grant to Stop Sexual Assault Against Individuals or Individuals with Disabilities	Office On Violence Against Women-OJP	R&D: Elder abuse training for patrol officers, investigators, prosecutors and judges throughout CA	Unknown	441,063	0	0	Award not granted.
4 Solving Cold Cases With DNA	OJP-NIJ	C.I.D., Review & Prioritize Cold Cases / DNA Analysis of Biological Evidence	2 -year	352,480	6,236	276,405	Approved. \$69,839 received in FY 05-06.
5 Boating Safety and Enforcement Equipment Grant	California Department of Boating and Waterways	Harbor Patrol law enforcement equipment	1 time	39,849	0	39,849	Pending disbursement - CBAW contract #06-204-765 Approved BOS ASR 06-000734-4/25/06
6 Portable Evidential Breath Testing (PEBT) - Upgrade	CA Office of Traffic Safety	Forensics: Upgrade the use of PEBT's for DUI Enforcement	1-Time	345,000	4,810	337,061	Approved, \$3,129 received FY 05-06
7 2004 Coverdell Forensic Science Improvement Grant	National Institute of Justice / CA Office of Emergency Services	Forensics: Caseload capacity enhancement	1-Time	80,233	3,190	0	Approved; \$77,043 received FY 05-06.
8 DNA Backlog Reduction Grant	National Institute of Justice	Forensics: DNA Backlog Reduction	1-time	173,440	17,908	51,983	Approved; \$103,549 received FY 05-06.
9 2004 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	226,674	9,379	53,345	Approved; \$163,950 received FY 05-06.
10 DNA Expansion Program (Inter Agency Agreement)	National Institute of Justice	Forensics: DNA Caseload Expansion to Property Crimes	1-time	495,505	96,299	399,206	Approved.
11 2005 Coverdell Block Grant (training)	National Institute of Justice / CA Office of Emergency Services	Forensics: Training in Forensic Science	1-time	93,639	0	93,639	Approved.
12 2005 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	164,395	0	164,395	Approved
13 2006 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	199,767	0	199,767	Original amount of \$138,702 increased to \$199,767 and awarded by NIJ
14 Mentally Ill Offender Crime Reduction (MIOCR) Grant	Department of Corrections and Rehabilitation (Corrections Standards Authority)	Jail Operations: Collaborative, joint-agency program to identify mentally ill (in-custody) inmates who may be better served in a non-custodial environment. Multi-agency collaboration with Health Care Agency, County Probation, District Attorney, and Superior Court, as well as community-based organizations.	Annual (Initial funding for 18 month period, which includes program implementation; annual thereafter)	\$ 1,500,000	\$ -	\$ -	Application in process
<b>Total SHERIFF-CORONER:</b>				<b>\$ 5,132,319</b>	<b>\$ 137,822</b>	<b>\$ 2,427,236</b>	

Department/Agency:  
Contact Name & Phone Number:  
Number of FTE's responsible for grant writing:  
Annual staff and any services & supplies costs related to the grant function:  
Specify any special services/tools/resources used to track available grants:

HEALTH CARE AGENCY (Agency 042)  
Janet Holcomb 834-3158  
Varies - HCA does not have staff solely assigned to grant writing  
Varies - HCA does not have staff solely assigned to a grant function  
eCivis software, through County price agreement

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Ryan White CARE Act, Title II	State Dept of Health Services	Outpatient medical care services to persons infected with HIV	4/1/05 - 3/31/07	\$ 1,729,828	\$ 156,741	\$1,006,420	Approved - \$566,667 received FY05-06
2 State Incentive Grant	State Department of Alcohol and Drug Programs	Campus - Community Alcohol Management Project	Annual	\$ 352,500	\$ 64,494	\$ 142,379	Approved - \$145,628 received FY05-06
3 Nurse-Family Partnership	Children and Families Commission of Orange County (Prop 10)	Case management and in-home visitation to pregnant/parenting women and their families	Annual	\$ 323,405	\$ 67	\$ 214,667	Approved - \$108,671 received FY05-06
4 Perinatal Substance Abuse Services Initiative	Children and Families Commission of Orange County (Prop 10)	In-home visitation targeting at-risk pregnant women with histories of substance abuse and/or HIV infection	Annual	\$ 177,914	\$ 3,573	\$ 14,155	Approved - \$160,186 received FY05-06
Total HCA:				\$ 2,583,647	\$ 224,875	\$ 1,377,621	

Department/Agency:  
Contact Name & Phone Number:  
Number of FTE's responsible for grant writing:  
Annual staff and any services & supplies costs related to the grant function:  
Specify any special services/tools/resources used to track available grants:

SOCIAL SERVICES AGENCY (Agency 063)  
Randi Dunlap 541-7704  
1.98 FTE  
S&EB \$132,204/Travel expenses \$6,000  
Agency uses standard business software: MS Word. Some service providers use MS Access software

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Current Status (Approved/ Denied/Pending Notification/Application in Process)
1 Child Abuse Treatment Program (CHAT)	State of California Office of Emergency Services (OES)	For comprehensive treatment services for child victims of abuse and neglect.	Three-year - Funded annually	\$ 600,000	0	\$ 600,000	Approved for 10/1/05 to 9/30/08.
2 Child Abuse Treatment Program (CHAT)	Family Preservation Family Support	For comprehensive treatment services for child victims of abuse and neglect.	Three -Year funded annually	\$ 150,000	0	\$ 150,000	Approved for 10/1/05 to 9/30/08.
3 Child Abuse Treatment Program (CHAT)	Family Preservation Family Support	For comprehensive treatment services for child victims of abuse and neglect.	Three -Year funded annually	\$ 187,000	0	\$ 187,000	Approved for 10/1/05 to 9/30/08.
4 Child Abuse Prevention, Intervention & Treatment (CAPIT)	CDSS OCAP	For addressing needs of children at high-risk of abuse or neglect and their families. Funding will be used for primary prevention services such as home based visiting programs, parent education, and respite care services.	Three-year - Funded annually	\$ 1,759,570	0	07/08 Amount Unknown	Approved for 7/1/05 to 6/30/08; Eligible amount is total received to date, 07/08 is an unknown amount which will add to Eligible Amount total. \$879,785 received in 05/06
5 Promoting Safe and Stable Families (PSSF)	CDSS OCAP	For community-based collaboratives operating family resource centers to provide a comprehensive continuum of integrated community-based prevention, intervention, and treatment services as defined by the respective FRC's communities	Three-year - Funded annually	\$ 5,341,590	0	07/08 Amount Unknown	Approved for 7/1/05 to 6/30/08; Eligible amount is total received to date, 07/08 is an unknown amount which will add to Eligible Amount total. \$2,604,538 received in 05/06

## SOCIAL SERVICES AGENCY Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
6 Community-Based Child Abuse Prevention (CBCAP)	CDSS OCAP	To foster parent and community leadership for the family resource centers to serve as a vehicle for individual, family, and community change.	Three-year - Funded annually	\$ 107,474	0	06/07 & 07/08 Amount Unknown	Approved for 7/1/05 to 6/30/08; Eligible amount is total received to date, 06/07 is due in late 2006, 07/08 is an unknown amount which will add to Eligible Amount total.
7 Family to Family Planning	Stuart Foundation	To support implementation of the Family to Family Initiative.	Three-year beginning 2003 to 2007	\$ 300,000	0	\$ 100,000	Grant is extended for a 4th year to allow spending the remaining. \$200,000 received in FY05-06, \$100,000 due in October 2006
8 Refugee Employment Social Services and Set Aside	California Dept of Health and Human Services	Refugee Services	Annual	\$ 302,571	0	\$ 167,272	Approved 10/01/04 - 09/30/06, \$135,299 received in 05/06
9 Refugee Employment Social Services Discretionary	California Dept of Health and Human Services	Refugee Marriage and Pre-Marriage Education	Annual	\$ 200,000	0	\$ 70,993	Approved 09/30/03 - 09/29/06, \$129,007 received in 05/06
10 Targeted Assistance Discretionary Grant to the Cambodian Family for Building the Future	California Dept of Health and Human Services	Child Care Provider Training for Refugee Women and Men	Annual	\$ 239,386	0	\$ 113,422	Approved 09/30/03 - 09/29/06; \$125,964 received in 05/06
11 2004 Refugee Employment Social Services Rollover	California Dept of Health and Human Services	Refugee Services	Annual	\$ 87,431	0	\$ 87,431	Approved 10/01/04 - 09/30/06
12 2004 Refugee Employment Social Services augmentation	California Dept of Health and Human Services	Refugee Services	Annual	\$ 24,879	0	\$ 24,879	Approved 10/01/04 - 09/30/06, 4th quarter eligibility amount increased from \$14,437
13 2004 Targeted Assistance Augmentation	California Dept of Health and Human Services	Refugee Services	Annual	\$ 5,869	0	\$ 5,869	Approved 10/01/04 - 09/30/06
14 2005 Targeted Assistance Discretionary Grant Older Refugee Services	California Dept of Health and Human Services	Older Refugee Services	Annual	\$ 10,825	0	\$ 10,825	Approved 10/01/05 - 09/30/06
Total SSA:				\$ 9,316,595	\$ -	\$ 1,517,691	

## Department/Agency:

## Contact Name &amp; Phone Number:

## Number of FTE's responsible for grant writing:

## Annual staff and any services &amp; supplies costs related to the grant function:

## Specify any special services/tools/resources used to track available grants:

## HOUSING &amp; COMMUNITY SERVICES (Funds 15G &amp; 012)

Connie Chang 480-2990

Approx. 2.0 FTE

\$136,041 for S&amp;EB

Community Services: Resource publications &amp; notices from U.S. Department of Labor; CA Employment Development Department; local, state and federal partner agencies, Orange County Housing Authority: Annual Family Self Sufficiency (FSS) and other performance reports

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Shelter Plus Care Program	U.S. Department of Housing & Urban Development	Provides rental assistance for homeless persons with disabilities.	Five-year Funding	\$ 4,585,864	\$ -	\$ 4,585,864	Award letter in December - waiting for contract.
2 CDBG- Neighborhood Preservation Program	U.S. Department of Housing & Urban Development	Housing rehabilitation program	Annual	\$ 454,761	\$ 454,761	\$ -	Approved
3 Veterans' Employment-Related Assistance Program Funds	U.S. Dept. of Labor (via State of California) WIA 15% Gov's Discretionary Funds and 25% Dislocated Worker Additional Assistance funds	Funds may be used to assist veterans who have significant barriers to obtaining meaningful employment. Applicants are to provide employment and training services, supportive services, placement and follow-up through a case management approach.	18 Months Funding	\$ 500,000		\$ 500,000	Application is in process and is due on October 16, 2006.

## HOUSING &amp; COMMUNITY SERVICES Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
4 Workforce Investment Act Funds	U.S. Dept. of Labor (via State	Funds are to be used where there is a maximum	18-24 Months Fundin	\$ 1,200,000	\$	1,200,000	Applications are in process. County can
5 California Rapid Response Special Project Funding Application	U.S. Dept. of Labor (via State of California) WIA Rapid Response Funds	To provide services that are needed to respond to all dislocation events. Projects should improve the connection of the Workforce Investment System with economic development and education to contribute to the economic vitality of individuals, businesses, and the community.	One-Year Funding	\$ 225,000	\$	225,000	Pending Notification. Application was due on September 15, 2006.
6 Domestic Violence Transitional Housing Assistance Program	U.S. Dept. of Justice, Office of Violence Against Women	Funds may be used for domestic violence victim-centered transitional housing services that move individuals to permanent housing.	Three-Year Funding	\$ 350,000	\$ -	\$ -	Application not funded. Application was due on 2/16/06.
7 Robert Wood Johnson Foundation for Support for Community Health Workers	Robert Wood Johnson Foundation	Funds may be used for promoting work-based learning for quality care will support partnerships to advance and reward the skill and career development of incumbent workers who provide care and services on the front lines of America's health and health care systems.	Three-Year Funding	\$ 575,000	\$ -	\$ -	Application not funded. Application was due on 5/18/2006.
Total H&CS:				\$ 7,890,625	\$ 454,761	\$ 6,510,864	

## Department/Agency:

## Contact Name &amp; Phone Number:

## Number of FTE's responsible for grant writing:

## Annual staff and any services &amp; supplies costs related to the grant function:

## Specify any special services/tools/resources used to track available grants:

## DANA POINT HARBOR DEPARTMENT (Fund 108)

Louis McClure (949) 923-2205

No specific staff assigned to grant writing

To be determined

eCivis, contacts from other Counties and State agencies

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Dana Point Harbor Department Launch Ramp	CA Department of Boating and Waterways	Dana Point Harbor Launch Ramp Renovation	1-time	\$ 2,541,000	\$0	\$ 2,541,000	Approved; Construction to begin September 2006
Total DANA POINT HARBOR:				\$ 2,541,000	\$ -	\$ 2,541,000	

## Department/Agency:

## Contact Name &amp; Phone Number:

## Number of FTE's responsible for grant writing:

## Annual staff and any services &amp; supplies costs related to the grant function:

## Specify any special services/tools/resources used to track available grants:

## ORANGE COUNTY PUBLIC LIBRARY (Fund 120)

Steve Siemion 566-3027

0 (assigned to various affected functions)

\$2,219.00

California State Library (<http://www.library.ca.gov/html/grants.cfm>), CALIX (library professionals' listserv), participation in literacy programs such as ProLiteracy or CALit, CA Department of Education listserv (automated e-mail distributions), Foundations and Grants Websites listings.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 California Library Literacy & English Acquisition Services Program (CLLS) 2006-07	California State Library	To provide literacy tutoring and resources for enhanced English skills	Annual	\$ 90,000.00	\$0.00	\$90,000.00	Application in Process
2 Community Collaboration Grant	Corporation for Public Broadcasting and Institute of Museum & Library Services	To digitize special collections	One-time	200,000.00	\$ -	0.00	Grant not approved. Program may become available again in future years.
3 English Literacy and Civics Education Grant 2005-06	Education (federal, passed thru Calif. Dept. of Education)	To provide literacy tutoring and resources for enhanced English skills	Annual	22,500.00	\$ 5,625	5,625.00	Approved; \$11,250 received in FY 05-06

## PUBLIC LIBRARY Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
4 English Literacy and Civics Education Grant 2006-07	Education (federal, passed thru Calif. Dept. of Education)	To provide literacy tutoring and resources for enhanced English skills	Annual	22,500	\$ -	22,500	Application in Process
5 LSTA (Library Services & Technology Act) Early Learning with Families (ELF) @ Your Library	Office Of Library Services (federal, passed through State Library)	Parent Education Program workshops for parents of pre-school children – preparation for school	One-time	5,000	\$ -	5,000	Approved
6 LSTA (Library Services and Technology Act) Local History Digital Resources Project	Office Of Library Services (federal, passed through State Library)	To digitally archive local photographs and documents	One-time	\$ 5,000	\$ -	\$ 5,000	Approved
7 LSTA (Library Services and Technology Act) Staff Education Program Fiscal Year 2006/07	Office Of Library Services (federal, passed through State Library)	To provide tuition reimbursement for library science college classes taken by eligible OCPL employees	Annual	\$ 9,481	\$ -	\$ 9,481	Approved
8 Target Community Giving Program Grant (Target Toddler Totes)	Target Stores	Materials to be checked out by parents of toddlers	One-time	5,450.00	\$ -	5,450.00	Application in Process
9 Target Community Giving Program Grant (Westminster Children's Puppet Theater)	Target Stores	Puppets for Puppet Theater children's events	One-time	1,200.00	\$ -	1,200.00	Approved
10 We the People Bookshelf	National Endowment for the Humanities	Books received from this grant are thematically linked to the grant's "Becoming American" theme. The La Habra library will display materials received and present activities emphasizing the historical role of immigrant citizens in the La Habra community.	One-time	In-kind grant (books)	\$ -	Not yet received	Application in Process
Total LIBRARY:				\$ 361,131	\$ 5,625	\$ 144,256	

## Department/Agency:

## Contact Name &amp; Phone Number:

## Number of FTE's responsible for grant writing:

## Annual staff and any services &amp; supplies costs related to the grant function:

## Specify any special services/tools/resources used to track available grants:

## RESOURCES AND DEVELOPMENT MANAGEMENT DEPARTMENT (Agency 034, 296, 115, 400, 405)

Greg Lepore 667-8357

3.3 FTE

\$225,202.00

eCivis Grants Locator, Grants.Gov Website, Catalog for Domestic Assistance (CFDA), Private Foundations, State web site - www.oes.ca.gov (Hazard Mitigation)

RDMD/WATERSHED AND COASTAL RESOURCES (Agency 034)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Proposition 50, Chapter 8	CA State Water Board/Dept of Water Resources	Protect communities from drought/improve water quality	2 funding cycle (FY 06-07-FY07-08)	25,000,000	-	25,000,000	Received invitation to submit Step 2 application. Notification of grant recipients is anticipated to be in November 2006.
2 Integrated Regional Water Management Grant Program	CA Dept of Water Resources	Design and build treatment wetlands system	1-time	588,000	-	0	Not awarded
3 Proposition 40 Phase II - Clean Beaches Initiative	State Water Resources Control Board	Poche Beach UV Bacteria Disinfection System	1-time	1,500,000	-	1,500,000	Board of Supervisors anticipated to approve grant in Oct 2006
4 Baby Beach Circulation Project	State Water Resources Control Board - Clean Beaches Initiative Grant Program	Mechanical circulation of Dana Point Harbor – Baby	1-time	1,000,000	\$ -	1,000,000	Scope of work for grant agreement being negotiated .
5 Proposition 13-Coastal Nonpoint Source Pollution Program	State Water Resources Control Board	Design & construct water quality treatment system	1-time	1,303,803	\$ -	0	Approved, however land approvals from US Navy denied so project is cancelled; remaining funds to be returned \$1,117,857. Received \$185,946 in 05-06
Subtotal:				\$ 29,391,803	\$ -	\$ 27,500,000	

**RDMD/Road Division (Fund 115)**

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Measure M - Local Sales Tax: (Combined Transportation Funding Program)	OCTA/Local Sales Tax	Roads, Bridges, Traffic Signals, etc.	Biannual	\$25,821,725	\$0	\$25,821,725	64 allocations over twelve fiscal years - starting FY 99-00. A total of \$510,000 of the active Measure M grants has been invoiced in this Quarter.
2 TEA-21 & Safe Accountable Flexible Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) Federal grants: (Seismic Retrofit, HBRR, RSTP, AHRP [pavement rehab.], & HES)	CalTrans/Federal Highway Administration (FHWA)	Roads, Bridges, Pavement, Bikeways	Annual	\$21,214,545	\$3,114,827	\$18,099,718	16 allocations over eleven fiscal years - starting FY 00-01.
3 State grants: (State Match, Safe Routes to School (SR2S))	CalTrans/State	Sidewalks, Bikeways, Curb & Gutter, Drainage	Annual (+/-)	\$416,620	\$0	\$316,620	4 allocations beginning FY04-05. A total of \$100,000 of the active State grants received in FY 05-06.
<b>Subtotal:</b>				\$ 47,452,890	\$ 3,114,827	\$ 44,238,063	

**RDMD/INTERNAL SERVICES TRANSPORTATION (Fund 296)**

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 MSRC (Mobile Source Air Reduction Pollution Committee)	SCAQMD (South Coast Air Quality Management District)	Construction of a Compressed Natural Gas (CNG) Refueling Facility	1-time	\$ 350,000	\$ -	\$350,000	Approved
2 California Energy Commission	California Energy Commission	Construction of a Compressed Natural Gas (CNG)	1-time	150,000	-	150,000	Approved
3 SCAQMD (South Coast Air Quality Management District) Unsolicited grant	SCAQMD (South Coast Air Quality Management District)	Construction of a Compressed Natural Gas (CNG) Refueling Facility	1-time	\$ 500,000	-	\$500,000	Application Pending on project status
<b>Subtotal:</b>				\$ 1,000,000	\$ -	\$ 1,000,000	

**RDMD/Flood Control District (Fund 400)**

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Hazard Mitigation Grant Program for DR-1577 and DR-1585	Federal Emergency Management Agency (FEMA)	Construction of East Garden Grove Wintersburg Channel (C05) from 2600' d/s Graham St to u/s Graham St	1-time after constructed	\$7,500,000		\$7,500,000	Pending Notification
2 Hazard Mitigation Grant Program for DR-1577 and DR-1585	FEMA	Construction of Fullerton Creek Channel (A03) from Knott Ave to Western Ave	1-time after constructed	\$3,050,000		\$3,050,000	Pending Notification
<b>Subtotal:</b>				\$ 10,550,000	\$ -	\$ 10,550,000	

**RDMD/Harbors, Beaches & Parks (Fund 405)**

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 California River Parkways Program (Water Security, Clean Drinking Water, Coastal and Beach Protection Act of 2002 - Agency Proposition 50)	State of California Resources	Design and construct portions of the Santa Ana River Trail within Orange, Riverside and San Bernardino Counties	1 - Time	\$2,500,000	\$ -	\$0	Denied
<b>Subtotal:</b>				\$ 2,500,000	\$ -	\$ -	
<b>Total RDMD:</b>				\$ 90,894,693	\$ 3,114,827	\$ 83,288,063	

**Department/Agency:****Contact Name & Phone Number:****Number of FTE's responsible for grant writing:****Annual staff and any services & supplies costs related to the grant function:****Specify any special services/tools/resources used to track available grants:****JOHN WAYNE AIRPORT (Fund 280)**

Norman Wohkittel (949) 252-5191

5% of an A&amp;E Project Manager for grant administration; 20% of a Senior Accountant/Auditor I position for grant claims \$27,776

The Federal Airport Improvement Program (AIP) manual is the primary directive for applying for grants available from the Federal Aviation Administration (FAA), for reimbursement of costs associated with certain eligible airport improvement (capital project) expenditures. The Federal Register is the authoritative guide for applying for grants available from the Transportation Security Administration (TSA) for reimbursement of certain eligible security-related expenditures.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 AIP Grant #32	Federal Aviation Admin. (FAA)	Construct Airport Fire Station #33 and Reconstruct Landing Surface (runway) 19R/1L	Open	\$ 955,892	\$ -	\$ 22,804	Remaining available funds for future claims is \$3,627,397. \$933,088 received FY 05-06
2 AIP Grant #34	FAA	Construct South Aircraft Remain-Overnight Apron - Design Phase	Open	54,139	0	14,043	Remaining available funds for future claims is \$760,585. \$40,096 received in FY 05-06
3 TSA Explosives Detection Canine Teams	Transportation Security Administration (TSA)	Security Personnel Assigned to Explosive Detection Canine Program	Annual	150,000	37,754	0	Remaining available funds for future claims is \$36,240. \$112,246 received in FY 05-06
Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
4 TSA Law Enforcement Officers (LEOs)	TSA	Security Personnel Assigned to Screening Checkpoints	Annual	656,860	0	94,501	Remaining available funds for future claims is \$517,404. \$562,359 received in FY 05-06.
5 Caltrans	State of California	Reimbursement of Elevated Roadways Seismic Retrofit	Open	0	0	0	Remaining avail. funds for future claims is \$450,000.
<b>Total JWA:</b>				<b>\$ 1,816,891</b>	<b>\$ 37,754</b>	<b>\$ 131,348</b>	

**Department/Agency:****Contact Name & Phone Number:****Number of FTE's responsible for grant writing:****Annual staff and any services & supplies costs related to the grant function:****Specify any special services/tools/resources used to track available grants:****REGISTRAR OF VOTERS (Agency 031)**

Kate Gold 567-5107

Varies - ROV does not have staff solely assigned to grant writing

Varies - ROV does not have staff solely assigned to a grant function

State and Federal agencies website; contacts and other sources from other Counties

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Voter Verifiable Paper Audit Trail (VVPAT)	Help America Vote Act (HAVA)	Purchase & Installation of the Voter Verifiable Paper Audit Trail (VVPAT) devices on existing Direct Record Electronic (DRE) Voting system and related voter and poll worker outreach and education.	1-time	\$ 13,114,000	-	\$ 13,114,000	Application in Process.
2 Statewide Voter Database	Help America Vote Act (HAVA)	Per HAVA mandate, develop statewide voter registration database which requires Counties to exchange voter registration data with the Secretary of State.	1-time	\$ 1,037,016	\$ 552,000	\$ 64,008	Approved. \$421,008 received FY 05/06; \$552,000 received in 1st Qtr (FY 06/07).
3 Election Assistance for Individuals with Disabilities (EAID)	Help America Vote Act (HAVA)	Improve poll site accessibility for individuals with disabilities.	1-time	\$ 287,936	-	\$ 287,936	Approved by the Board on 12/13/05.
<b>Total ROV:</b>				<b>\$ 14,438,952</b>	<b>\$ 552,000</b>	<b>\$ 13,465,944</b>	



Department/Agency:  
Contact Name & Phone Number:  
Number of FTE's responsible for grant writing:  
Annual staff and any services & supplies costs related to the grant function:  
Specify any special services/tools/resources used to track available grants:

CLERK-RECORDER (Agency 059)  
Phillip Tsunoda 834-2167  
0  
0  
Outside services

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 California Cultural and Historical Endowment	California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Act of 2002 (aka: Proposition 40)	Funding to be used by the County Archives for the planning and creation of schematics, drawings and development designs for permanent exhibits at the Old County Courthouse	1-time	\$ 150,000	\$ -	\$ 150,000	Approved
Total Clerk-Recorder:				\$ 150,000	\$ -	\$ 150,000	

Department/Agency:  
Contact Name & Phone Number:  
Number of FTE's responsible for grant writing:  
Annual staff and any services & supplies costs related to the grant function:  
Specify any special services/tools/resources used to track available grants:

COUNTY EXECUTIVE OFFICE (Agency 036)  
Anil Kukreja 834-4146

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Community Development Block Grant (CDBG) CJC Improvements for Weapons Screening Rm Project	HUD through HCS	If funded, the CDBG grant award of \$400,000 (minimum request amount) will be used for the evaluation and potential relocation or elimination of the weapons screening points which are currently located at the North/South and East Entrances. The project will enhance the emergency egress from the Central Courthouse for people with disabilities.	1-time	\$ 204,101	-	\$ 204,101	Approved
Total CEO:				\$ 204,101	\$ -	\$ 204,101	
TOTAL COUNTY:				\$ 152,028,158	\$ 11,241,520	\$ 114,846,656	
Total FTE's Responsible for Grants:				10.88			
Estimated Annual Staffing & Related S&S Costs:				\$654,296			